

runtime of Quicksort (cont)

$$T(n) = \max_{1 \leq i \leq n} \{T(i-1) + T(n-i)\} + \Theta(n)$$

on extremes:  $i = 1$  or  $n$

$$T(n) = T(0) + T(n-1) + \Theta(n) \in \boxed{\Theta(n^2)} \leftarrow \text{Worst-case behavior}$$

in middle:

$$T(n) \approx T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + \Theta(n) \\ = 2T\left(\frac{n}{2}\right) + \Theta(n) \in \Theta(n \log n) \leftarrow \text{wouldn't this be nice}$$

But, ... let's consider the average case analysis

Note:  $T(n)$  is the same (asymptotically) as counting the number of comparisons.

Random variable:  $X_{ij} = \begin{cases} 1, & \text{if els } i+j \text{ are compared} \\ 0, & \text{otherwise} \end{cases}$

The total # of comparisons (and hence the RT) is:

$$X = \sum_{i=1}^n \sum_{j=i+1}^n X_{ij}$$

What is the expected value of this?

$$\begin{aligned} \mathbb{E}(X) &= \mathbb{E}\left(\sum_{i=1}^n \sum_{j=i+1}^n X_{ij}\right) \\ &= \sum_{i=1}^n \sum_{j=i+1}^n \mathbb{E}(X_{ij}) \quad \text{by linearity of expectation} \\ &= \sum_{i=1}^n \sum_{j=i+1}^n \frac{2}{j-i+1} \quad (\text{see "timeline"}) \\ &= \sum_{i=1}^n 2 \cdot \left(\frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n-i+1}\right) \leq \sum_{i=1}^n 2H_n = 2nH_n \\ &\leq \cancel{2nH_n} \quad 2n \ln(n) = \Theta(n \log n). \end{aligned} \quad (\text{2})$$

Recall (from long ago)  
the Harmonic Numbers:

$$\sum_{i=1}^n 1/i = H_n \in [\ln(n), \ln(n)+1]$$
$$\in \Theta(\ln(n)) = \Theta(\log n)$$

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## Geometric Series

$$\sum_{i=1}^{\infty} a \cdot r^i \begin{cases} \rightarrow \text{if } r \geq 1, \text{ diverges} \\ \rightarrow \text{if } 0 < r < 1, \text{ it converges} \\ \text{to } a \left( \frac{1}{1-r} \right). \end{cases}$$

Why is Q5 correct = use our recursion invariant,

① State our assumptions needed for a valid call to Q5.

- a)  $A$  is an array of real #s
- b)  $n$  is the length of  $A$

② ~~Q5(A)~~ State the Recursion Invariant:  
Q5(A) executes correctly if:

- a)  $A$  is sorted from smallest to largest

③ INITIALIZATION

- identify our base case:  $n=0$   
(can't make a recursive call in our base case)

Proof:

Since  $n=0$ ,  $A$  is empty.

In the code, I just return. Now I'm done.

Since  $A$  is still empty, (2a) is vacuously true.  $\square$

Note: this base case might not be the 1<sup>st</sup> call.

There could be another "A" that our empty array is a subarray of.

Hurray! INIT cases are almost always this "easy".

④ MAINTENANCE: Assuming the recursion fairy is always correct, we prove that a general call is correct.

Let  $n_1 \geq 0$ .

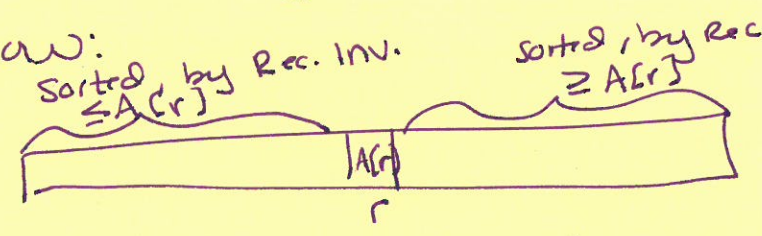
Assume the recursion fairy works for  $QS(A)$  when  $|A| \leq n_1$ . That is (restate RI), after  $QS(A)$  executes,  $A$  is sorted from smallest to largest.

Now, we're given a call  $QS(A)$ , where  $|A| = n_1 + 1$  (i.e. slightly bigger than my recursion fairy can handle, so I've gotta do this one)

Since this is a valid call, I know by ① that  $A$  is an array of real #s.

Since  $n_1 \geq 0 \Rightarrow n_1 + 1 \geq 1$ . Thus, we evaluate TRUE on line 1.  $p$  is our pivot index chosen on line 3. Since we ~~had~~ proved PARTITION  $(A, p)$  will return  $r$ , the <sup>new</sup> index of  $A[p]$  from the input  $A$  in the new order of  $A$ , and that  $A[1 \dots p-1]$  are all  $\leq A[p]$  and  $A[r+1 \dots n]$  are all  $\geq A[p]$  and  $A$  has the same #s, just in a diff order than initially given.

Then, the RF solves lines 4+5 for me, so I know:



$\therefore$  the whole array  $A$  is sorted!  
(which is exactly what I wanted to prove)  
from 2a

⑤

well, not yet, but HZ...